



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, Washington, D.C. 20231, on

May 30, 2002

Date of Deposit

Robert N. Carpenter

Name of Applicant, Assignee or
Registered Representative

Robert Carpenter

Signature

May 30, 2002

Date of Signature

#5
6/8/02
mH
RECEIVED
JUN - 7 2002
TECHNOLOGY CENTER 2800

LAM Ref. No.: P0707
Our Case No.: 7103/205

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Youlin J. Li, et al.

Serial No.: 09/938,056

Filing Date: August 23, 2001

For: Multi-Step Polishing System And
Process Of Using Same

Examiner:

Group Art Unit No.: 2812

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on the attached Form PTO-1449 be considered by the Examiner and made of

record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for review by the Examiner.

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, prior art to the application, or material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



Robert N. Carpenter
Registration No. 40,409
Attorney for Applicants

BRINKS HOFER GILSON & LIONE
P.O. Box 10395
Chicago, IL 60610
(312) 321-4200



2812

TRANSMITTAL LETTER			Case No. 7103/205
Serial No.	Filing Date	Examiner	Group Art Unit
09/938,056	August 23, 2001		2812
Inventor(s)			
Youlin J. Li, et al.			
Title of Invention			
Multi-Step Polishing System And Process of Using Same			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Information Disclosure Statement (2), Form PTO-1449, Copies of Cited References A1-A28, Postcard Receipt.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a __month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

TECHNOLOGY CENTER 2800

RECEIVED
JUN - 7 / 2002

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity

Rate	Add'l Fee
x \$9 =	
x 42 =	
+ \$140 =	
Total add'l fee	\$

or

Other Than Small Entity

Rate	Add'l Fee
x \$18 =	
x \$84 =	
+ \$280 =	
Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$_____ for the assignment recordal fee. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Robert N. Carpenter
Registration No. 40,409
Attorney for Applicant

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on May 30, 2002.

Date: 5/30/2002
rev. Dec.-00

Signature:

M